SIEMENS

FACSIMILE COVER SHEET

PRIVILEGED AND CONFIDENTIAL

TO: Andia L. Event

FROM: Ann Hickey, IP Specialist

ann.hickey@siemens.com

Fax No.: 571-270-9880

Fax No:

407-736-6440

Phone:

407-736-7064

DATE: July 25, 2006

Number of Pages including cover page: 13

Re:

10/510,973 filing date: 10/08/2004

Attorney Docket No.: 2002P06169WOUS

First Named Applicant: Guido Gentner

REQUEST FOR WITHDRAWAL OF NOTIFICATION OF ABANDONMENT

Per our conversation this morning, attached please find the original fax to the USPTO responding to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated June 16, 2005.

It is respectfully requested that a Withdrawal of the Notification of Abandonment be issued.

If you need to contact me I can be reached at 407-736-7064. Thank you, in advance, for your assistance.

Mernational Start

The information contained in this facsimile message may contain attorney privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, and return the original message to us. Thank you.

Siemens Corporation

Legal and Intellectual Property
Department

4400 Alafaya Trail Orlando Florida 32826

Tel: 407-736-2472 FAX: 407-736-6440 COMPANICATION RESULT REPORT (JUN. 16. 2005 3:54PM) *

407-736-6440

TRANSMITTED/STORED : JUN. 16. 2005 3:48PM

RESULT

PAGE

1008 MEMORY TX

USPTO

OK

11/11

FACSIMILE COVER SHEET

In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Guido Gentrer

Application No.: 10/510,973

Filed: October 08, 2004

Title: METHOD FOR DETECTING A CHECK-BACK SIGNAL IN AN OPTICAL.

TRANSMISSION SYSTEM

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

FACSIMILE ATTN TO: CHARITTA A. BURT

FAX NO.: 703-746-6704

UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EQ/US)

Certificate of Transmission under 37 CFR 1.8

I hereby pertify that this correspondence is being factimile transmitted to the Patent and Trademark Office on June 16, 2005.

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

►This facsimile cover sheet which includes a Certificate of Transmission under 37 CFR 1.8 (1 pg)

► Response to Notification of Missing Requirements under 35 U.S.C. 371 in the United States

Designated/Elected Office bearing "date mailed" of 05/03/2005 (2 pgs, original + 1 copy); ▶Copy of Notification of Missing Requirements under 35 U.S.C. 371 In the United States

Designated/Elected Office to be returned with response (2 pgs);

➤ Declaration for Patent Application, Power of Attorney & Designation of Correspondence

Address (4 pgs)

- Authorization to Act in a Representative Capacity Including copy of Limited Recognition Under

37 CFR § 11.9(b) (2 pgs.)

Number of pages being transmitted (including this cover sheet): 11 pgs

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Siemens Corporation

Legal and Intellectual Property Department

4400 Alefeya Treil Orlando Florida 32826

Tel: 407-736-2472 FAX: 407-738-6440

SIEMENS

FACSIMILE COVER SHEET

In the UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Guido Gentner

Application No.: 10/510,973

Filed: October 08, 2004

Title: METHOD FOR DETECTING A CHECK-BACK SIGNAL IN AN OPTICAL

TRANSMISSION SYSTEM

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

FACSIMILE ATTN TO: CHARITTA A. BURT

FAX NO.: 703-746-6704

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on June 16, 2005.

Ann Hickey

Typed or printed name of person signing Certificate

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- ► Response to Notification of Missing Requirements under 35 U.S.C. 371 in the United States
- Designated/Elected Office bearing "date mailed" of 05/03/2005 (2 pgs, original + 1 copy); ▶Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States
- Designated/Elected Office to be returned with response (2 pgs);
- ► Declaration for Patent Application, Power of Attorney & Designation of Correspondence Address (4 pgs)
- ► Authorization to Act In a Representative Capacity including copy of Limited Recognition Under 37 CFR § 11.9(b) (2 pgs.)

Number of pages being transmitted (including this cover sheet): 11 pgs

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Siemens Corporation

Legal and Intellectual Property Department 4400 Alafaya Trall Orlando Florida 32826 Tel: 407-736-2472 FAX: 407-736-6440

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Applicant: Guido Gentner

Serial No.: 10/510,973 Filed: October 08, 2004 Docket No.: 2002P06169WOUS Examiner: Not Yet Assigned Group Art Unit: Not Yet Assigned

Title: METHOD FOR DETECTING A CHECK-BACK SIGNAL

IN AN OPTICAL TRANSMISSION SYSTEM

16.June.2005

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Mail Stop Missing Parts
Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

Sir:

This is a response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designed/Elected Office having a mailing date of 05/03/2005 and for which a period for response of two (2) months from the mailing date of said Notice is set to expire 07/03/2005.

Enclosed is a Declaration by the present Inventors and a copy of the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office.

Please charge Deposit Account No. 19-2179 for the surcharge of \$130.00 in accordance with 37 CFR 1.492(h). Issuance of a formal Filing Receipt is respectfully solicited.

Respectfully submitted,

Georg Zeis

LIMITED RECOGNITION - 4/21/2005-5/24/2006

6/16/2005

(407) 736-6170.

Siemens Corporation Intellectual Property Department 170 Wood Avenue South Iselin, NJ 08830

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to 703-746-6704 at the Patent and Trademark Office on June 16, 2005.

Ann Hickey



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Potent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Best 1450 Alexandria, Vinginia 22313-1450 www.uspho.gov

FIRST NAMED APPLICANT ATTY. DOCKET NO.

Guido Gentner 2002P06169WOUS

INTERNATIONAL APPLICATION NO.

PCT/DE03/01075

Slemens Corporation
INTELLE
Intellectual Property Department
170 Wood Avenue South
Iselin, NJ 08830
IPD 2000

U.S. APPLICATION NUMBER NO.

10/510,973

INTELLECTUAL PROPERTY
PREC'D. 5 6 05
IPD2002 P061692005
DUE DATE 7-3-05

CONFIRMATION NO. 6167 371 FORMALITIES LETTER

PRIORITY DATE

04/12/2002

LA. FILING DATE

04/01/2003

Date Malled: 05/03/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/08/2004
- English Translation of the IA filed on 10/08/2004
- Copy of the International Search Report filed on 10/08/2004
- Copy of IPE Report filed on 10/08/2004
- Copy of Annexes to the IPER filed on 10/08/2004
- Preliminary Amendments filed on 10/08/2004
- Information Disclosure Statements filed on 10/08/2004
- Oath or Declaration filed on 10/08/2004
- U.S. Basic National Fees filed on 10/08/2004
- Substitute Specification filed on 10/08/2004
- Priority Documents filed on 10/08/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKBT NO.
10/510,973	PCT/DE03/01075	2002P06169WOUS

FORM PCT/DO/EO/905 (371 Formalities Notice)

Declaration and Power of Attorney For Atent Application Erklärung Für Patentanmeldungen Mit Vollmacht German Language Declaration

Als nachstehend benannter Erfinder erkläre Ich hiermit an Eides statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit dem Titel beantragt wird:

Verfahren zur Detektion eines Kontrollsignals in einem optischen Übertragungssystem

deren Beschreibung hier beigefügt ist, es sei denn (In diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

Wurde angemeldet am 10-08-2004 unter der US-Anmeldenummer 10/510.973 oder unter der Internationalen Anmeldenummer im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentwesens (PCT)

und am a

abgeändert (falls zutreffend).

Ich bestätige hiermit, dass ich den inhalt der obigen . Patentanmeldung einschliesslich der Ansprüche, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen en, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäß Title 35, United States Code, § US-Code, § 119 (a)-(d), bzw. § 365(b) aller unten aufgeführten Auslandsanmeldungen für Patente Erfinderurkunden, oder § 365(a) aller PCT internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslands- anmeldungen für Patente bzw. oder PCT internationale Erfinderurkungen Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole Inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Method for Detecting a Check-Back Signal in an Optical Transmission System

the specification of which is attached heretounless the following box is checked:

was filed on 10-08-2004
as United States Application Number
10/510.973 or PCT International Application
Number and was amended on

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose Information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority under Title 35, 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Form PTO/SB/103 (8-98)

Petent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

Page 1

		German Langua	ge Declaration		
Prior foreign ap Priorität beanst	* *		•	<u>Prìori</u>	tv Claimed
10216279.4 (Number)	DE (Country)	12,04,2002 (Day Month Yea	•	Yes	No No
(Nummer)	(Land)	(Tág Monat Jahr	eingereicht)	Ja	Nein
(Number) (Nummer)	O (Country) (Land)	(Day Month Yea (Tag Monat Jahr		Yes Ja	No Nein
(Number) (Nummer)	Country) (Land)	(Day Month Yea (Tag Monat Jahi		Yes Ja	No Neln
(Number) (Nummer)	<u>(Country)</u> (Land)	(Day Month Yea (Tag Monat Jah	•	☐ Yes Ja	No Nein
Code, § 120 aufgeführten Laller PCT Intervereinigten Schenne, inschener US-Pater Anmeidung in Title 35, US-Commelding Versentfähigkeit Federal Regulatin Zeitraum zu Patentanmeldur Rahmen des dem Gebiet	J zustehenden V JS-Patentanmeldun mationalen Anmel taaten von Ameri ofern der Gegens ruchs dieser Paten ruchs dieser die dieser Patentwese	unter Title 35, US- crteile -aller unten gen bzw. § 365(c) dungen, welche die ka benennen, und stand eines jeden stanmeldung nicht in PCT Internationalen m ersten Absatz von schriebenen Art und icht zur Offenbarung m zur Prüfung der Title 37, Code of Belang sind und die eldetag der früheren atlonalen oder im Zusammenarbeit auf en (PCT) gültigen nnt geworden sind.	I hereby claim the bend Code, § 120 of any U 365(c) of any PCT Intention of States, its subject matter of each is not disclosed in the international application first paragraph of Title acknowledge the duty material to patentability Federal Regulations, between the filing date national or PCT Intentional Intentional	nited States rnational applicational applicational application of the claim are prior United States of the prior of the prior of the prior	application(s), or § plication designating and, insofar as the is of this application ted States or PCT mer provided by the states Code, § 112, I information which is in Title 37, Code of h became available application and the
PCT/DE03/010 (Application Seriel (Animeldeseriermun	No.)	01.04.2003 (Filing Date D, M, Y) (Anmeldedatum T, M, J)	anhängig (Status) (patentiert, enhängig, aufgegeben)		pending (Status) (patented; pending, abandoned)
(Application Serial (Anmeldesertennum		(Filing Date D,M,Y) (Anmeldedatum T, M; J)	(Status) (patentiert, embangig, aufgeben)		(Status) (patented, pending, abandoned)
Erklärung von Wissen und Gremer daß ich Kenntnls des vorsätzlich fals 1001, Title 18 Geldstrafe und und daß derar Angaben die	mir gemachten Ar ewissen der Wahrhah diese eldesstaten ablege, dat che Angaben oder des US-Code state des US-Code state wissentlich un Rechtswirksamkeing oder eines aufg	n der vorliegenden gaben nach bestem eit entsprechen, und ttliche Erklärung in Wissentlich und dergielchen gemäß § rafbar sind und mit straft werden können d vorsätzlich falsche t der vorliegenden grund deren erteilten	I hereby declare that a own knowledge are the on information and be further that these staknowledge that willful made are punishable and under Section 1001 of Code and that such jeopardize the validity issued thereon.	ue and that aller are believed atements was false statements by fine or important the statement of Title 18 control of Title 1	all statements made eved to be true; and ere made with the ents and the like so prisonment, or both of the United States se statements may

Form PTO/SB/103 (8-06)

Page 2 Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

German Language Declaration

VERTRETUNGSVOLMACHT: Als benannter Erfinder beauftrage ich hiermit den (die) nachstehend aufgeführten Patentanwalt (Patentanwälte) und/oder Vertreter mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Angelegenheiten vor dem US-Patent-Markenamt: (Name(n) und i Registrationsnummer(n) auflisten)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

And I hereby appoint Terrence M. Brennan Reg. No. 42,360, John P. Musone Reg. No. 44,961, Daniel J Staudt Reg. No. 34,733, Erlk C, Swanson Reg. No. 40,194

Send Correspondence to:

Stemens Corporation Intellectual Property Departement 170 Wood Avenue South Iselin, NJ 08830

Telefongespräche bitte richten an: (Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

Elsa Keller "

(732) 321 - 3026

Voller Name des einzigen oder ursprünglichen Erfinders:	Full name of sole or first inventor:	
Guldo Gentner	Guido Gentner	
Uniterschrift des Enfindere Datum 30.09,2604	Gudo Gute 09/30/2004	
Warnsitz -	Revitience	
München, GERMANY	München, GERMANY	
Staatsangahörigkelt DE	DE (GERMANY)	
Postanachrift	Post Office Addess	
Ursberger Straße 7	Ursberger Straße 7	
81673 München GERMANY	81673 München GERMANY	
Voller Name des zwelten Miterlinders (falls zutreffend):	Full name of second joint inventor, if any:	
René Neumann	René Neumann	
Unterschrift des Erfinders . Datum Russe Manureum 30 09.2004		
Wohnsitz . 11	Residence //	
Planegg, GERMANY	Planegg, GERMANY	
Staatsangehörigkek	DE (GERMANY)	
Postanschrift	Post Office Address	
Mathildenstr. 42	Mathildenstr: 42	
82152 Planegg GERMANY	82152 Planegg GERMANY	

Form PTO/88/103 (8-98)

Page 3 Palent and Trademark Office-U.S. Department of COMMERCE

Voller Name des dritten Mitterfinders:	Full name of third joint inventor:		
Gerhard Thanhäuser	Gerhard Thanhäuser		
Gerhard Thankourer 30.9.04	Gerhard Thankaner 09/30/2004		
Wering, GERMANY	Mering, GERMANY		
Staatsengehörigkeit DE	DE (GERMANY)		
Postanschrift Luisenstr. 17	Post Office Address Luisenstr. 17		
86415 Mering GERMANY	86415 Mering		
Valler Name des vierten Miterfinders:	GERMANY' Full name of fourth joint Inventor:		
Unterschrift das Erfinders Datum	Inventor's signatura Date		
Wohnsitz	Residence		
Staatsengehörigkeit	, Cliizenship		
Postanedhritt	Post Office Address		
*			
Voller Name des fünften Miterfinders:	Full name of fifth joint toventor:		
Unterschrift des Erfinders Delum	Inventore algnatura Date		
Wohnsitz	Residence		
Staatsengehörigkeil	Citizenship		
Postanschytti	Post Office Address		
Voller Name des sechsten Miterfinders:	Full name of sixth joint inventor:		
Unterschrift des Ertinders Deturn	Inventor's signature . Date		
Wahneitz	Residence		
) Staatsangehürigkeil	Clizenship		
Postanachrift .	Post Office Address		
te entsprechende Informationen und Unterschriften im e von dritten und weiteren Miterlindern angeben).	(Supply similar information and signature for third and subsequent joint inventors).		
n.	eno A		
Pa n PTO/S8/103 (8-96)	ge 4 Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE		

AUTHORIZATION TO ACT IN A REPRESENTATIVE CAPACITY

In re Application of:				
Inventor:	G. Gentner et al.			
Application No.:	40/540 073			
Filed:	10/510,973			
· · · · · · · · · · · · · · · · · · ·	October 08, 2004	·		
Title:	METHOD FOR DETECTING A COPTICAL TRANSMISSION SYST	•	GNAL IN AN	
Attorney Docket No.		Art Unit:	Not Yet Assigned	
·	2002P06169WOUS	Examiner:	Not Yet Assigned	
	ermore, the practitioner is authorizant to 37 CFR 1.34: Name	·	ation Number	
Georg Zeis		LIMITED RECOGNITION 4/21/2005 - 5/24/2006		
			(Copy enclosed herewith)	
does not have author abandonment, a disc assignee of the entire	rity to sign request to change the claimer, a power of attorney, or other	correspondence a er document requ If appropriate, a	uiring the signature of the applicant, separate Power of Attorney to the above-	
	SIGNATURE of P	ractitioner of Re	ecord .	
Signature	Ul Man		Date 6/16/05	
Name	JOHN P. MUSONE		Registration No., if applicable ,44,961	
Telephone	407-736-6449			

This form offers a sample or suggested format for an authorization for an agent. See MPEP § 713.05 for more information. This sample form is not an OMB officially approved form.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATES PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 11.9(b)

Georg Zeis is hereby given limited recognition under 37 CFR § 11.9(b) as an employee of Siemens Corporation to prepare and prosecute patent applications wherein the assignee of record of the entire interest is Siemens AG. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Georg Zeis ceases to lawfully reside in the United States, (ii) Georg Zeis's employment with Siemens Corporation ceases or is terminated, or (iii) Georg Zeis ceases to remain or reside in the United States on an L1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: May 24, 2006

Harry L Moak

Director of Enrollment and Discipline